

12/5/01

Please type a plus sign (+) inside this box →

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/05 (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	UNME-0115-1
First Inventor	ROGELJ, Snezna
Title	Inhibition of Cell Surface Protein Disulfide...
Express Mail Label No.	

APPLICATION ELEMENTS		ADDRESS TO:	
See MPEP chapter 600 concerning utility patent application contents.			
1. <input checked="" type="checkbox"/>	Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing.)</i>	7. <input type="checkbox"/>	CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input checked="" type="checkbox"/>	Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>	a. <input checked="" type="checkbox"/> Computer Readable Form (CRF)
3. <input checked="" type="checkbox"/>	Specification [Total Pages 38] <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed.sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul>	b. Specification Sequence Listing on: <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input checked="" type="checkbox"/> paper Statement in Prelim. Amend</li> </ul>	
4. <input checked="" type="checkbox"/>	Drawing(s) (35 U.S.C. 113) [Total Sheets 6]	c. <input type="checkbox"/> Statements verifying identity of above copies	
5. Oath or Declaration	[ Total Pages 2 ]	ACCOMPANYING APPLICATION PARTS	
a. <input type="checkbox"/>	Newly executed (original or copy)	9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
b. <input checked="" type="checkbox"/>	Copy from a prior application (37 CFR 1.63 (d)) <i>(for continuation/divisional with Box 18 completed)</i>	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i>	<input type="checkbox"/> Power of Attorney
i. <input type="checkbox"/>	<b>DELETION OF INVENTOR(S)</b> <i>Signed statement attached deleting inventor(s) named in the prior application. See 37 CFR 1.63(d)(2) and 1.33(b).</i>	11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>	
6. <input checked="" type="checkbox"/>	Application Data Sheet. See 37 CFR 1.76	12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	<input type="checkbox"/> Copies of IDS Citations
13. <input checked="" type="checkbox"/> Preliminary Amendment			
14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>			
15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>			
16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.			
17. <input type="checkbox"/> Other: Associate Power of Attorney			

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.

Continuation  Divisional  Continuation-in-part (CIP)

of prior application No. 09 , 424,181

Group Art Unit: 3763

Prior application information: Examiner KLINE, E.

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label		or	<input type="checkbox"/> Correspondence address below
Name	Mark J. Guttag <b>22506</b>		
Address	10379-B Democracy Lane PATENT TRADEMARK OFFICE		
City	Fairfax	State	Virginia
Country	United States	Telephone	703-591-2664
Name (Print/Type)	Mark J. Guttag	Registration No. (Attorney/Agent)	33,057
Signature	<i>Mark J. Guttag</i>		Date Dec. 05, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

## FEE TRANSMITTAL FORM

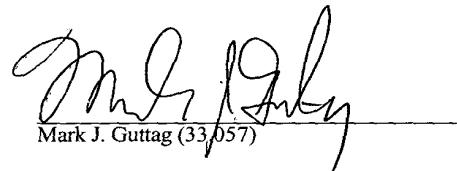
CLAIM	FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
	TOTAL CLAIMS	10-20	0	x\$18 =	\$0
	INDEPENDENT CLAIMS	1-3	0	x\$84 =	\$0
	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+\$270 =	\$0
				BASIC FEE	\$740.00
				TOTAL OF ABOVE	\$0
	REDUCTION BY 50% FOR FILING BY SMALL ENTITY (note 37 C.F.R. §§ 1.9, 1.27, 1.28).				\$370.00
					<b>TOTAL=</b>
					<b>\$370.00</b>

1.  A verified statement to establish small entity status under 37 CFR 1.9 and 1.27  
 is enclosed
2.  The Commissioner is hereby authorized to charge any additional fees which may be required under 37 CFR 1.16 and 1.17, or credit any overpayment to **Deposit Account No. 10-0233 UNME-0115-1**.
3.  A check in the amount of \_\_\_\_\_ is enclosed.
4.  Cancel in this application original **claims 1, 2, 3, 4, 5, 6, 7, 8, 16, 17 and 18** of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

Address all future communications: (May only be completed by applicant or attorney/agent of record.)

**JAGTIANI + GUTTAG**  
 Democracy Square Business Center  
 10379-B Democracy Lane  
 Fairfax, Virginia 22030

December 5, 2001



Mark J. Guttag (33,057)

- Attorney or Agent of Record
- Inventor(s)
- Assignee of Complete Interest
- Filed under § 1.34(a)

JC971 U.S. PTO  
10/002698  
12/05/01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
ROGELJ, et al. )  
SERIAL NUMBER: To be assigned, ) Examiner: To be assigned  
a Divisional of U.S. App. Serial )  
No. 09/424,181, filed on ) Art Unit: To be assigned  
November 10, 1999 )  
FILED: CONCURRENTLY HEREWITH )  
FOR: INHIBITION OF CELL SURFACE ) Docket No: UNME-0115-1  
PROTEIN DISULFIDE ISOMERASE )

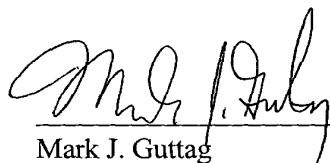
Director of U.S. Patent and Trademark Office  
Washington, D.C. 20231

**REQUEST FOR PRIORITY  
UNDER 35 U.S.C. § 120**

Sir:

In the matter of the above-captioned application for a United States patent, notice is hereby given that the Applicant claims the priority date of U.S. Patent Application No. 09/424,181 which was filed on November 10, 1999, the priority date of PCT Application No. PCT/US98/09795 which was filed on May 14, 1998, and which claims the priority date of May 14, 1997, the filing date of United States Provisional Patent Application No. 60/046,487 under 35 U.S.C. § 120.

Respectfully submitted,

  
\_\_\_\_\_  
Mark J. Guttag  
Registration Number 33,057

**JAGTIANI + GUTTAG**  
10379-B Democracy Lane  
Fairfax, Virginia 22030  
(703) 591-2664

December 5, 2001